

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Claus AUGENSTEIN et al.

Title: HEAT EXCHANGER, IN PARTICULAR FOR MOTOR VEHICLES

Appl. No.: Unassigned

Filing Date: 4/14/2006

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

RELEVANCE OF EACH DOCUMENT

Documents A1, A5-A6 and A11-A13 listed on the attached PTO/SB/08 were cited as being relevant during a search of the corresponding International application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

The relevance of non-English language documents A4 and A7-A10 is described in the present specification.

Documents A2 and A7 belong to the same “patent family,” whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A3 and A8 belong to the same “patent family,” whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a “concise explanation of relevance” for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

10/575893
IAP15 Rec'd PCT/PTO 14 APR 2006

Atty. Dkt. No. 016906-0501

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date April 14, 2006

By Richard L. Schwaab

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	APPL_NO
Date Submitted: April 14, 2006				Filing Date	4/14/2006
(use as many sheets as necessary)				First Named Inventor	Claus AUGENSTEIN
Sheet	1	of	1	Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	016906-0501

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	3,668,757		RIEDER	06-13-1972	
	A2	5,931,219		KULL ET AL.	08-03-1999	
	A3	6,269,870		BANZHAF ET AL.	08-07-2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A4	WO	00/26514	A1	SERCK HEAT TRANSFER LIMITED	05-11-2000		
	A5	DE	103 05 031	A1	BROEKELMANN ALUMINUM F W	09-09-2004		Abstr.
	A6	EP	1 154 143	A1	HINO MOTORS, LTD.	11-14-2001		
	A7	DE	195 11 991	A1	BEHR GMBH & CO.	10-02-1996		Abstr.
	A8	DE	199 07 163	A1	BEHR GMBH & CO.	10-28-1999		Abstr.
	A9	DE	199 53 785	A1	BEHR GMBH & CO.	05-10-2001		Abstr.
	A10	DE	199 53 787	A1	BEHR GMBH & CO.	05-10-2001		Abstr.
	A11	FR	2 825 456	A1	VALEO THERMIQUE MOTEUR	12-06-2002		
	A12	DE	38 31 812	A1	INTERATOM ET AL.	03-22-1990		Abstr.
	A13	JP	62-176811	A	KOMATSU MFG. CO. LTD.	08-03-1987		Abstr.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.